

## 网上交易执行通知

### Internet Trade Execution Notification

致: 中国银河国际证券(香港)有限公司 及/或 中国银河国际期货(香港)有限公司  
 To: China Galaxy International Securities (Hong Kong) Co., Limited And/Or China Galaxy International Futures (Hong Kong) Co., Limited

日期 Date \_\_\_\_\_

账户类别 Account Type: <input type="checkbox"/> 证券 Securities <input type="checkbox"/> 期货 Futures <input type="checkbox"/> 股票期权 Stock Options			
客户名称 Name of Client		客户号码 Account No.	

Please tick where applicable 请在适当地方加上别号:

本人/吾等现要求**取消**收取网上交易执行通知。本人/吾等确认知悉下述之风险披露内容, 并确认明白不收取有关通知所涉及的风险。  
 I/We request to **opt-out** from receiving internet trade execution notification. I/We acknowledge the content of risk disclosures below, and I/We declare I/We understand the risks involved in opt-out from trade execution notifications.

1. 网上交易执行通知是根据证券及期货事务监察委员会的《降低及纾减与互联网交易相关的黑客入侵风险指引》而实行的其中一项监察措施。其目的旨在通过实时收取网上交易通知, 让客户可尽早了解其交易帐户的交易状况, 及时侦测所有潜在的未经授权交易, 从而采取措施降低或纾减与网上交易相关的黑客入侵风险, 以保护客户的网上交易帐户。  
 Internet Trade Execution Notification is a monitoring measure implemented by the Securities and Futures Commission according to Guidelines for Reducing and Mitigating Hacking Risks Associated with Internet Trading. By receiving prompt notification on internet trade execution, clients are able to understand the trading status of their trading accounts as early as possible, in order to detect all potential unauthorized transactions in a timely manner, and take measures to reduce or mitigate hacking risks associated with internet trading, so to protect internet trading account of clients.

2. 如客户选择取消收取网上交易执行通知, 将有机会因未能及时发现其帐户出现未经授权交易或被黑客入侵, 而引致损失。  
 Clients choose to opt-out from receiving internet trade execution notification will be exposed to the risk of failing to detect any unauthorized transaction or hacking activity of their trading accounts timely, and may result in losses.

本人/吾等现要求**收取**中国银河国际证券(香港)有限公司及/或中国银河国际期货(香港)有限公司发出有关透过本人/吾等网上交易帐户买卖交易的网上交易执行通知。  
 I/We request to **opt-in** to receiving internet trade execution notification from China Galaxy International Securities (Hong Kong) Co., Limited and/or China Galaxy International Futures (Hong Kong) Co., Limited in respect of transactions traded through my/our trading account(s)

\*只适用于曾选择不接收执行网上交易通知的客户。  
 \*Only for client who has chosen to opt-out from receiving internet trade execution notification before.

客户签署 Client Signature(s) \_\_\_\_\_  
 请用留存于本行之签署式样 please use signature(s) filed with us

For Official Use Only	
Confirmed by CS/AE	
Signature:	Name
Recording Date:	
Recording Time :	Extension:
S.V.	Handle By