

## 網上交易執行通知

### Internet Trade Execution Notification

致: 中國銀河國際證券(香港)有限公司 及/或 中國銀河國際期貨(香港)有限公司  
 To: China Galaxy International Securities (Hong Kong) Co., And/Or China Galaxy International Futures (Hong Kong) Co., Limited

日期 Date \_\_\_\_\_

帳戶類別 Account Type: <input type="checkbox"/> 證券 Securities <input type="checkbox"/> 期貨 Futures <input type="checkbox"/> 股票期權 Stock Options			
客戶名稱 Name of Client		客戶號碼 Account No.	

Please tick where applicable 請在適當地方加上剔號:

本人/吾等現要求**取消**收取網上交易執行通知。本人/吾等確認知悉下述之風險披露內容，並確認明白不收取有關通知所涉及的風險。  
 I/We request to **opt-out** from receiving internet trade execution notification. I/We acknowledge the content of risk disclosures below, and I/We declare I/We understand the risks involved in opt-out from trade execution notifications.

- 網上交易執行通知是根據證券及期貨事務監察委員會的《降低及紓減與互聯網交易相關的黑客入侵風險指引》而實行的其中一項監察措施。其目的旨在通過即時收取網上交易通知，讓客戶可儘早瞭解其交易帳戶的交易狀況，及時偵測所有潛在的未經授權交易，從而採取措施降低或紓減與網上交易相關的黑客入侵風險，以保護客戶的網上交易帳戶。

Internet Trade Execution Notification is a monitoring measure implemented by the Securities and Futures Commission according to Guidelines for Reducing and Mitigating Hacking Risks Associated with Internet Trading. By receiving prompt notification on internet trade execution, clients are able to understand the trading status of their trading accounts as early as possible, in order to detect all potential unauthorized transactions in a timely manner, and take measures to reduce or mitigate hacking risks associated with internet trading, so to protect internet trading account of clients.

- 如客戶選擇取消收取網上交易執行通知，將有機會因未能及時發現其帳戶出現未經授權交易或被黑客入侵，而引致損失。

Clients choose to opt-out from receiving internet trade execution notification will be exposed to the risk of failing to detect any unauthorized transaction or hacking activity of their trading accounts timely, and may result in losses.

本人/吾等現要求**收取**中國銀河國際證券(香港)有限公司及/或中國銀河國際期貨(香港)有限公司發出有關透過本人/吾等網上交易帳戶買賣交易的網上交易執行通知。

I/We request to **opt-in** to receiving internet trade execution notification from China Galaxy International Securities (Hong Kong) Co., Limited and/or China Galaxy International Futures (Hong Kong) Co., Limited in respect of transactions traded through my/our trading account(s)

\*只適用於曾選擇不接收執行網上交易通知的客戶。

\*Only for client who has chosen to opt-out from receiving internet trade execution notification before.

For Official Use Only	
Confirmed by CS/AE	
Signature:	Name
Recording Date:	
Recording Time :	Extension:
S.V.	Handle by

客戶簽署 Client Signature(s)

請用留存於本行之簽署式樣 please use signature(s) filed with us