

Instruction for Stock Transfer and Coverage/ De-coverage of Stock Option Contract

股票轉移及備兌/ 解除備兌股票期權合約指示

致: 中國銀河國際證券(香港)有限公司

To: China Galaxy International Securities (Hong Kong) Co., Limited

Account Name 帳戶姓名

AE Code 經紀代號

Account Number 帳戶號碼

Date 日期

I/we hereby instruct you to transfer the below stock(s) from my/ our *securities / stock options account to my/ our * securities / stock options account to cover / de-cover short-call position of relevant stock option contract(s) 請根據本人/吾等之指示將下列股票由本人/吾等之 *證券 / 股票期權帳戶 轉移至本人/吾等之 *證券 / 股票期權帳戶，以備兌/ 解除備兌相關認購期權短倉。

| Stock Code 股票代號 | Stock Name 股票名稱 | Quantity 數量 |
|--------------------|--------------------|----------------|
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| # Details of client's phone instruction (to be filled by AE) 客戶電話指示之詳情 (由經紀填寫) | |
| Recorded Line Number 錄音電話號碼 | Recording Date & Time 錄音日期及時間 |
| | |

Client's Signature(s)/ AE's Signature#

客戶簽署/ 經紀簽署#

 Please use signature(s) filed with us
 請用留存本行紀錄之簽署式樣

Notes 注意:

- *Please delete whichever is not appropriate 請刪去不適用處
- Using respective underlying stock to cover/ de-cover a short-call stock option contract, the contract being picked will be in a randomly assigned basis by China Galaxy International Securities (Hong Kong) Co., Ltd.. 使用股票期權的個別正股進行備兌/ 解除備兌, 獲配的沽出認購股票期權合約, 中國銀河國際證券(香港)有限公司將以隨機形式抽選。
- For client instruction received by Account Executive ("AE") via centralized tape recording system, AE must write the recorded phone number, date and time of receipt on the form, and signed the form immediately. 如經紀透過中央電話錄音系統接收客戶指示, 經紀必須於此表格上填寫錄音電話號碼, 收到指示的日期及時間, 並立即簽署此表格。

| For Official Use Only | | | | |
|-----------------------|------------|-----------|------------|-------------|
| S.V. | Sett - Sec | Sett - SO | Checked by | Approved by |
| | | | | |